



# Advanced UAV–WSN System for Intelligent Monitoring in Precision Agriculture †

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16 **Abstract:** The growing need for food worldwide requires the development of a high-performance, 17 high-productivity, and sustainable agriculture, which implies the introduction of new technologies 18 into monitoring activities related to control and decision making. In this regard, the paper presents 19 a hierarchical structure based on the collaboration between unmanned aerial vehicles (UAVs) and 20 federated wireless sensor networks (WSNs) for crop monitoring in precision agriculture. The 21 integration of UAVs with intelligent, ground WSNs, and IoT proved to be a robust and efficient 22 solution for data collection, control, analysis, and decision in such specialized applications. Key 23 advantages lay in online data collection and relaying to a central monitoring point while effectively 24 managing network load and latency through optimized UAV trajectories and in situ data 25 processing. Two important aspects of the collaboration were considered: designing the UAV 26 trajectories for efficient data collection and implementing effective data processing algorithms 27 (consensus and symbolic aggregate approximation) at the network level for the transmission of the 28 relevant data. The experiments were carried out at a Romanian research institute where different 29 crops and methods are developed. The results demonstrate that the collaborative UAV-WSN-IoT 30 approach increases the performances in both precision agriculture and ecological agriculture.

Keywords: unmanned aerial vehicles; wireless sensor networks; intelligent data processing;
 trajectory planning; relevant data extraction; data consensus; Internet of Things; precision
 agriculture

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# 35 1. Introduction

36 The need for high-performance, high-productivity, and sustainable agriculture results from the 37 rapid growth of the human population. This requires permanent monitoring and intelligent 38 processing of the measured data collected from the field, correlated with the weather forecasts, to 39 produce agronomic recommendations. In the last years, new technologies in agriculture and, 40 especially in precision agriculture (PA) have been leveraged for increased productivity and efficient 41 input dosage [1]. Most importantly, in PA, farmers need to know exact and timely details about crop 42 status. These details about certain parameters, obtained by measurements both from the ground and 43 in the air, constitute input data to specialized systems of process management in the PA. Some 44 relevant examples might include for example, irrigation control, pesticide dosage, pest control, etc. 45 For acquisition and complex processing of the collected data, integration of unmanned aerial 46 vehicles (UAV) with wireless sensor networks (WSN) under novel frameworks such as the Internet 47 of Things (IoT) has been shown to contribute to increases in agricultural yields [2]. Such advanced 48 systems are modeled as well-specified agent-based solutions with sensors and UAVs. Although the 49 contributions of UAVs and WSNs, taken separately, are well documented and important in the 50 sustainable growth of agricultural production, the integration of these components together within 51 an IoT framework is expected to significantly improve the solutions for monitoring, production 52 modeling, prediction and decision making.

53 Relevant applications of UAV - WSN systems are presented in [3], [4], [5], and [6]. In 54 viticulture, as a special type of PA, the soil and air parameters modify grape yield and quality. For 55 this purpose, a solution based on the collaborative system mini UAV (quadrotor type) - WSNs to 56 monitor parameters like temperature and humidity, to prevent the frost in fragmented vineyards, is 57 proposed in [3]. The UAV is considered as communication relay between sensors and a base station. 58 A real application for monitoring sensitive parameters in vineyards with both agro-meteorological 59 stations and UAV- platforms is presented in [4]. In order to obtain a precise monitoring of the 60 specific indicators, the data from the ground are correlated with the data collected by a UAV 61 platform with 8 rotors provided with a professional thermal camera. The study was conducted over 62 a period of two years. For data acquisition on large areas a fixed wing type UAV, used as data mule 63 from the ground WSN, is proposed in [5]. In addition, the UAV also has attached an HD camera for 64 the detection of certain plant diseases. Experimentally, a small tank has been added to spray 65 different insecticides, fertilizers, herbicides, etc. Both UAV and WSN are low cost, not robust for 66 demonstration purpose only. Also, in [6] a low cost agro-meteorological monitoring system in 67 vineyard was designed and developed. The optimal positioning of the sensors was made with the 68 help of the multispectral image analysis, acquired by UAV.

Given recent evolutions in UAV technologies, cost reduction, and new regulations of aviation authorities regarding the usage and deployment of such systems (e.g., European Aviation Safety Agency – EASA [7] and Federal Aviation Administration – FAA [8]) such aerial robotic platforms are increasingly used in agriculture, with different tasks, the most important being crop monitoring [9]. According to EASA, the UAVs should be safely integrated into the existing aviation context in a proportionate way [7]. For large scale applications, in which UAVs are flying beyond line-of-sight, compliance with strict regulatory frameworks is essential.

76 Adoption of a UAV-based solution for image acquisition in agriculture applications is more cost 77 effective and flexible, in comparison with satellite or manned aircraft alternatives [10]. Both fixed-78 wing [11], [12] and rotary-wing type [3], [13] UAVs are frequently used in various applications in 79 agriculture, while accounting for the risk of crashes [9] and potential damages. Equipped with 80 specific sensors in modular payloads [14], such as high resolution RGB [15], infrared, multispectral 81 [16], [17], and thermal cameras [18], [19], and also, LIDAR [10], UAVs are able to create precise maps 82 of crop state or evolution [17], health plant assessment [20], diseases [21], soil characteristics, 83 evaluate losses caused by floods [11], etc. In the crop monitoring the following characteristics are 84 analyzed from UAV images [9]: the crop water stress, defined as the difference between the canopy 85 and the air temperature, the photochemical reflectance index and the vegetation indices.

Although UAVs with different propulsion systems are now available, most applications in PA
use UAVs driven by electric motors due to their compact size, reduced maintenance and operational
costs and, not the least, their alignment with the current regulatory context and tendencies towards
the reduction of global carbon emissions [22].

90 The small-scale data acquisition by the WSN helps farmers to take actions like crop irrigation, 91 fertilizer usages, deciding on the optimum stages of sowing and harvesting [23]. Moreover, WSNs 92 employed in PA lead to large amounts of data. Thus, data collection by WSNs is an important 93 contribution to the development of farm management information systems (FMIS) [24], [25].

94 The WSN has multiple functions at the field level: data acquisition of various parameters (e.g. 95 temperature in soil and air, humidity in soil and air, solar radiance, soil nutrients, the presence of 96 pests and weeds, chlorophyll content in plants, etc.), distributed processing of data by establishing 97 consensus – if it is the case, establishing the relevant data and its storage, low level data fusion, and 98 data transmission. New sensor node designs offer reduced costs [26], see for example, the detailed 99 list of sensors used in PA given in [10]. As in many other large-area monitoring applications, for 100 communication or local processing reasons, the sensors are grouped into sensor networks, the 101 communication being made by radio. A WSN network will include measurement nodes (sensory 102 nodes) and data collection, processing and transmission nodes (sink or cluster head).

Regarding PA, there is no rigorous theory of sensor placement, because it depends on the particularities of the soil and the weather. Sensor groups need to comply broadly with the need for sensory and communication coverage. In [27] two examples of sensor location topologies are given: grid and random. From the point of view of communication with the sink node, the most used are the star and mesh topologies. The wireless communication protocols used in WSN for PA are the following [10]: 6LoWPAN, ZigBee (both being the most suitable for the mesh topology), LoRaWAN, GSM, BLE, and Wi-Fi.

In PA, WSNs are used, most often, for parameter monitoring but they can also be integrated
into control systems as sensors. Direct specific applications of WSN in control systems for PA, are
the energy efficient automated control of irrigation [28] and smart automated fertilization [29].

113 The performance of the crop monitoring can be improved by UAV-WSN collaboration [30]. The 114 collaborative aspects in an integrated UAV (aerial agents) - WSN (ground agents) architecture for 115 different applications have been recently presented in a review paper [22] where the different 116 functional components of the system and how they collaborate with each other was highlighted. In 117 [31] the authors presented an integrated UAV – WSN – IoT system, named FarmBeats which is an 118 end-to-end platform for data collection from various sensors, cameras and drones in agricultural 119 applications. An unlicensed TV White Spaces is used to setup a high bandwidth link from the 120 farmer's home to an IoT ground station at distance for collecting data from UAVs and WSNs.

121 In order to interconnect the UAVs and terrestrial WSNs into hybrid networks and, at the same 122 time, to ensure a safe airspace sharing with aircrafts, multiple organizations are contributing [22]: 123 International Civil Aviation Organization, EASA, Joint Authorities for Rulemaking on Unmanned 124 Systems, International Telecommunications Union, etc. Satellite connection is required for two 125 reasons. One-way communication, such as obtaining the GPS location of the UAVs or the sensory 126 nodes (if any) is one reason. The second reason is a possible data transmission or remote control (via 127 two-way satellite-intermediated internet).

In [32] the authors discuss the information system design supporting agriculture data management. Enabling advanced data processing in the form of sensor fusion and clustering mechanisms for improved network topologies in generic applications has been discussed [30]. Effective data gathering mechanisms [33] and higher level IoT architectures [34] are key and current topics of interest.

133 We believe that the challenges of UAV–WSN–IoT integrated systems can come from several 134 directions: a) Precise localization of the ground sensors with the aid of a preliminary flight; b) Sensor 135 states periodically inspected by UAV; c) Establishing of the WSNs as sensor clusters able to cover, 136 both from the sensorial and from the communication point of view the monitored area; d) 137 Establishing the cluster heads (CH), named base stations, of the WSNs able to communicate data to 138 UAVs; e) Transmitting commands to change the strategy and parameters of the sensor networks, f) 139 Data acquisition from WSNs through UAVs, g) Special trajectory planning and tracking, h) The 140 aggregation of information collected by the UAV with the information collected by WSN for the 141 purpose of measuring and interpreting the parameters with increased accuracy, i) Remote control 142 via Internet, and j) Edge and cloud computing.

143 In a hierarchical structure, the data processing architecture of the integrated system is based on 144 three levels: consensus, edge computing [35], and cloud computing.

For the main activity, the data collection from CH, UAV must have a predefined trajectory, properly designed and accounting for the following limitations:

- Way-point passing: a UAV has to pass above the CH to extract the relevant data from that area
   (covered by the corresponding WSN sub-network);
- Obstacle avoidance: UAVs avoid obstructions or prohibited areas along the flight plan;
- Guaranteed communication: to ensure that the data has been fully collected, enough time has to
   be spent in the CH neighborhood;
- Efficiency: reduce at a minimum the energy consumption for that trajectory (consider the length of the trajectory and its complexity).
- The integration of UAV–WSN based systems for PA in IoT is a mandatory step to create an advanced FMIS [25].
- 156 Due to the integration, the system can become "smart" by using elements of artificial 157 intelligence like self-adaptation and decision, optimal trajectory, data transmission of relevant 158 parameter values, energy efficiency, and neural networks for data and image processing. Not in the 159 least, the sensors must be placed optimally, considering the terrain characteristics. Battery life is an 160 important design point of the ground sensor algorithms by reducing to a minimum the number of 161 wireless communications needed to transfer the information. The radio interface is the critical factor 162 in increasing battery life. Based on the frequency of the data collection and radio transmissions the 163 nodes can have a battery lifetime ranging from several months up to one year. Therefore, the 164 intelligent collaboration between UAV and WSN can lead to optimization of parameters such as 165 energy consumption, sensing coverage, risk, data acquisition and processing time [36]. To this end, 166 bio-inspired optimization heuristics and genetic algorithms were applied to the aforementioned 167 agents.
- 168 The optimal WSN coverage by the aid of UAV platforms is implemented in [37] as an 169 optimization problem, formulated by means of the travelling salesman problem, in order to find the 170 best path of the UAV for data collection with minimum energy consumption.
- Using UAV as data mule for multi WSNs is an energy-efficient method to increase thenetworks' life. To this end the authors in [38] apply the successive convex optimization technique.
- The proposed system presents the following integration aspects:
  Group the sensors in clusters and determine the cluster head
- Group the sensors in clusters and determine the cluster heads the methodology proposed
  by the authors in [30];
- 176 Path planning based on specific conditions for efficient data collection;
- 177 Intelligent data collection and processing.

178 The main contributions consist in the following: i) implementation of a multilevel, 179 collaborative UAV-WSN system structure for agriculture applications, ii) a specific path planning 180 for fixed wing – type UAV with the purpose of robust and efficient data collection, iii) obtaining 181 relevant data from sensors for the purpose of saving energy, and iv) edge - fog - cloud computing 182 algorithms for subsequent data processing. Thus, the main challenge is related to improving data 183 extraction and communication in large scale heterogeneous monitoring system. The key problem is 184 focused on improving the performance of such systems through better algorithms and 185 synchronization among the two subsystems: the ground sensor network and the robotic aerial 186 platforms, implemented as UAVs, for data collection and relaying.

187 The rest of the paper is structured as follows. Section 2 describes the concept, the methodology, 188 and key aspects that have been addressed for the proper design and implementation of the system. 189 Section 3 presents the experimental results and performances after implementing the system on an 190 experimental farm. Section 4 highlights the conclusions as well as future work.

# 191 2. Materials and Methods

# 192 2.1. Requirements for Integrated UAV-WSN-IoT Systems

For the design of reliable and robust large-scale monitoring system the requirements have to be first validated. The main challenges for such collaborative systems were considered to be: sensing coverage in accordance to mission objectives, communication coverage by the hybrid UAV–WSN

196 system using various types of radio links, from low-power, low-data rate to high throughput long

197 distance for streaming, energy efficiency, and, not in the least, computing efficiency. The 198 decentralized architecture for crop field monitoring described in this paper is designed to overcome 199 the challenges mentioned above and to account for the data generation patterns at the field level. 200 While the proposed data fusion mechanisms and processing of centralized in-field data at CH level 201 manage to reduce data volume and ensure the flow of information up to the level of events, an 202 additional intermediate level is appended to the data stream, in order to reach the server. To this 203 end, we consider both mobile agents (UAV) and multiple fixed agents (ground sensors - SNs). The 204 mobile agent can perform the following functions: data mulling, image acquisition, relay, and state 205 inspection of WSNs. The fixed agents acquire data from the field (agricultural field - soil and air), 206 process data locally (relevant data extraction, data consensus), and finally transmit data to the UAV 207 by means of CHs. The system is composed of four main processing levels (Table 1): Sensor level, Fog 208 Computing level, Internet/Cloud Computing level, and Data Management and Interpretation level. 209 This is a multi-WSN-UAV structure with higher level integration in Internet-based systems for 210 decision support. The data from WSNs are collected by a UAV, transmitted at a ground control 211 station (GCS), and, from here via internet, to the Data Interpretation module. Analytics functionality 212 ranges from basic statistical indicators to trend and event detectors and up to basic statistical 213 learning models that have the ability to anticipate evolutions in the monitored ground phenomena.

Another important requirement of the integrated system is the correlated or complementary interpretation of the data from the sensory agents, either mobile or fixed. For example, when the soil moisture is too high, the soil sensors show the maximum value and cannot discern whether a flood has occurred. This can be accurately determined from aerial images taken by the UAV. Also, the degree of humidity in plants and the degree of foliage development can be observed either from the ground or from the air (images) and a more precise determination results from the fusion of the two data sets.

Other types of similar systems were surveyed and can include the use of swarms of multi-copter type UAVs which offer better positioning accuracy for data collection while trading off energy efficiency and autonomy. Ground sensor network implementation can also be a differentiating factor with two main approaches: random deployment of sensor nodes in the area of interest, according to a minimum expected sensing coverage density, or deterministic, grid-like placement. Intermediate data processing steps from the field level to the decision level are commonly accepted as an important mechanism to balance network loads and improve communication latency.



227 228

Figure 1. The concept of the integrated UAV– WSN – IoT system.

Table 1. Processing levels.

Level	Content	
Field	Sensors (SNs)	
Edge computing	Cluster heads (CHs), UAV	
Cloud computing	Cloud	
Data interpretation	User server	

#### 230 2.2. UAV Trajectory Design

231 For UAV trajectory planning, two cases must be considered. The first is the trajectory planning for 232 collecting data from sensors (CHs) and it must take into account certain requirements such as the 233 complete and safe acquisition of data on one hand and minimize energy and time consumption on the 234 other hand [39].

235 Under certain reasonable assumptions (known environment, known limitations), the UAV tasks 236 reduce to computing a trajectory which respects constraints and minimizes a cost (length, total energy 237 consumption, etc.) while simultaneously respecting various constraints (internal dynamics, stall 238 velocity constraints or exogenous ones, imposed by the environment, such as obstacle avoidance and 239 way-point passing through).

240 The particularity lies in the fact that many of the UAV-specific constraints are non-convex [40], 241 e.g., the variable of interest z (depending of time t) has to stay outside some bound (e.g., outside of an 242 interdicted region and / or maintain a minimal velocity). If z(t) is the UAV position, the velocity 243

restrictions are usually written as follows:

$$\underline{v} \le ||\dot{z}(t)|| \le \overline{v} \tag{1}$$

244 Both bounds (lower - v - and upper -  $\overline{v}$ ) may depend on a variety of factors. Hard constraints are 245 imposed by the UAV physics: upper bound given by the engine characteristics and lower bound by 246 the requirement to avoid stall. Note that this work neglects the influence of wind: velocity is usually 247 measured against the ground (e.g., through a GPS) but in fact the UAV "feels" the addition of its own 248 and of the wind velocities. This may lead to an unexpected stall or, at least, improper behavior. Usual 249 techniques are to provide more conservative bounds in (1) and to restrict the flight to normal weather 250 conditions.

251 Way-points are introduced, in a practical mission, because data has to be gathered from a cluster 252 node. Thus, the question of minimum communication time arises [41]: it is necessary to remain in a 253 specific neighborhood for a defined time interval  $\Delta t_i$ . To correctly describe such a constraint we require 254 a tuple  $(\omega_i, \Delta t_i, r_i, R_i)$  where  $\omega_i$  is the corresponding cluster node position (the center of the circle in 255 Figure 2),  $r_i$  and  $R_i$  are, respectively, the minimum and the maximum radius of the permitted 256 communication area. Because there are perturbations due to trajectory control errors or other causes, 257 the real trajectory is included in a flight lane (Figure 2a). The flight lane was experimentally established 258 at 30 m, under reasonable assumptions about wind speed. The trajectory z(t) has to stay near the 259 way-point for a least amount of time  $\Delta t_i$  determined by the quantity of data which has to be 260 transferred):

$$r_i \le ||\omega_i - z(t)|| \le R_i, t \in [t_i, t_i + \Delta t_i]$$
<sup>(2)</sup>

261 Condition (2) is often impractical to check due to the continuous nature of z(t) and because of the 262 varying time interval  $[t_i, t_i + \Delta t_i]$ . The usual approach is to sample the constraint and to estimate the 263 path length by assuming the bounds (1) on the velocity. To this end, we consider:

$$||z(\overline{t}_i) - \omega_i|| = r_i, \tag{3}$$

with  $\overline{t}_i$  given such that  $\overline{t}_i \in [t_i, t_i + \Delta t_i]$  holds (it is important that a way-point is reached, not 264 265 when).

266 Note that the shortest distance for a trajectory checking (4) is the straight line shown in Figure 2a, 267 whose length is  $2\sqrt{R_i^2 - r_i^2}$ . In other words, a sufficient condition for guaranteeing that the minimal 268 time  $\Delta t_i$  has passed is to ensure that

(1)

$$\Delta t_i \ge \frac{2\sqrt{R_i^2 - r_i^2}}{\overline{\nu}} \tag{4}$$

269 Condition (4) provides a lower bound for the time the UAV stays between the inner and outer 270 circles (i.e., how much time it spends inside way-point's  $\omega_i$  communication range). Then, inserting (3) 271 in a trajectory design procedure implicitly guarantees enough communication time. This approach 272 may be insufficient for a couple of reasons. First, the desired communication time may not be known 273 at the trajectory generation time and thus could not be compared with  $\Delta t_i$ . Second, the 274 communication time is known to be larger than  $\Delta t_i$  and a "tangential" pass (like the one enforced by 275 (3)) does not suffice. The method (detailed below) is to enter a *loitering mode* [43] to increase arbitrarily 276 the data-gathering time [42]. Making the reasonable assumption that the loitering  $r_i^l$  radius respects 277 the condition  $r_i < r_i^l < R_i$ , means that the UAV can orbit the way-point  $\omega_i$  for an indefinite period of 278 time. From the viewpoint of trajectory generation, the only relevant question remains the places at 279 which the UAV inserts/dislodges onto/from the loitering circle. Both of these points are decided by the 280 relative position of the current way-point with respect to the previous and next way-points in the 281 sequence (such as to reduce unnecessary inflexions in the trajectory). The switch between normal and 282 loitering modes will be done at pre-determined points: the trajectory enters loitering mode at a point 283  $\omega_i^-$  and dislodges from it at a point  $\omega_i^+$  (which lie on the loitering circle and are from/towards the 284 direction of the previous/next way-point). Thus, when the UAV decides to finish the communication, 285 it will continue to orbit the loitering circle until it reaches the dislodging point  $\omega_i^+$ . Here it will switch 286 back to the normal trajectory mode.

The inner (dotted line), outer (solid line) communication circles, and loitering circle (dashed line) are illustrated in Figure 2b. We show a trajectory inserting to the loitering circle, tracking an arc of it and, lastly dislodging from the circle, to re-enter its normal mode (line tracking). The UAV could have orbited the loitering circle repeatedly and dislodged from it at  $\omega_i^+$  when desired. As is mentioned above, the trajectory describes a corridor (we account for the inherent tracking error appearing under realistic conditions).



Figure 2. Illustration of different aspects of the trajectory design: (a) inner and outer communication
 constraints with a sufficient condition and a corridor for the UAV trajectory envelope and (b) trajectory
 validating.

While the previous velocity and time constraints are easy to formulate, they lead to complex (nonlinear in position and time variables) constraints. Thus, in practical implementations, it is often much easier to provide a simplified control scheme based on the heading angle (a "line of sight" procedure).

That is, the UAV control is partitioned into the lower level where the velocity is controlled (to negate the wind disturbances for example) and the higher level where, at each time instant, a new heading angle is computed. Thus, we may interpret the path as a collection of segments (linking consecutive way-points) and circle arcs around way-points where loitering is needed.

The idea of the segment tracking procedure is straightforward and is sketched in the following flow chart (Figure 3). In the flowchart we make use of several notations:

- RTB = *return to base*, a flag denoting whether the UAV has to return to its path's starting point;
- LM = *loiter mode*, denotes that the UAV has entered the loiter mode; at the start of this mode, the
- LMT = *loiter mode remaining time* is initialized to a predefined value which is decreased (at each
   step with a constant value T) as long as the UAV remains in the loiter mode;
- PP = *projection point*, obtained by projecting the current position onto the support line of the current
   segment from which W = *weight of the PP* (denoting whether the PP is inside the segment, to the
   left or to the right) and D = *distance between the UAV position and the PP*, are computed;
- PCP = *proximity circle point* represents the intersection between the proximity circle and the current
   segment (in case of intersection between the circle and the segment there are two solutions; the
   one closest to the end-point of the segment is taken);
- LP = *loiter point* is computed such that the UAV tracks the loiter circle (with the sense of movement
   decided a priori by the supervisor);
- CP = *current waypoint*, throughout the algorithm, is updated as needed.





321 The main points of the algorithm are: 322 ▶ The UAV has two modes of functioning, loiter mode and segment tracking mode, which are 323 decided by the supervisor (in the sense that within the collection of waypoints a priori 324 computed some of them are labeled as loiter points). 325 ▶ In both cases, the algorithm provides a heading which is the reference to be tracked by the UAV. 326 This is in line with standard practices where the heading is decided through some design 327 procedure and the velocity and pitch and roll angles are decided at the auto-pilot level (usually 328 the velocity is maintained constant and the roll and pitch are taken as needed between 329 admissible bounds). 330 ▶ The decisions taken by the algorithm and supervisor are, ultimately, related to the distance 331 between the current position and some point of interest. To do so, we consider some circles of 332 interest, defined as follows: 333 Communication circle: the UAV communicates with the ground-based cluster head 0 334 only when it is within the communication radius. 335 Waypoint update circle: it is impractical to assume that the UAV passes through the 0 336 exact coordinates of the current waypoint. Thus, we update the active segment (by 337 advancing through the list of waypoints) whenever we are close enough to the 338 end-point of the current segment. 339 Loitering circle: whenever the UAV is required to spend a significant time in 0 340 communication with the current cluster head, the decision to start loitering is taken. 341 The loitering radius is restricted to be less than the communication radius and larger 342 than the physical limitations imposed by the roll angle bounds (a tighter circle means 343 a larger roll angle). 344 Proximity circle: the procedure employed in the algorithm takes (whenever there is 0 345 intersection between the circle and the current segment) the heading angle in the 346 direction of the intersection point (the one closest to the end-point of the segment). 347 ▶ When the last waypoint is covered, the UAV returns to base (by default, we consider this to be the 348 initial point on the trajectory). 349 Without being exhaustive, some of the most relevant updates in the algorithm are: 350 In segment tracking mode: 351 At the current time we consider the UAV position (x, y), the segment determined by the current 1. 352 (CP) and next way-point (CP+1):  $(w_x^i, w_y^i), (w_x^{i+1}, w_y^{i+1}).$ 353 2 We compute the projection of the current point onto the current segment (PP). We identify three 354 possible cases by checking the relative position of the projection wrt the segment's end points 355 (described by W): inside the segment  $(0 \le W \le 1)$ ), outside and located before the initial segment 356 end (W<0); outside and located after the initial segment end (W>1); 357 We compute the distance (D) from the current point to the segment and the circle of radius L3. 358 (proportional with the UAV velocity) and further used to compute the heading vector. 359 4. We consider the following cases: 360 The UAV is too far away and the projection point lies before the segment start point. i. 361 Then the heading angle points towards the projection point. 362 ii. The UAV is sufficiently close and the projection point lies before the segment start 363 point. Then the heading angle points towards the start point.

- 364 iii. The UAV is sufficiently close to the segment end point or its projection onto the
  365 segment lies after the end point. Then the current segment is updated and the
  366 procedure jump to step 4.i.
- iv. The UAV is too far away and its projection lies onto the interior of the segment. Thenthe heading vector points towards the projection.
- v. The UAV is sufficiently close and its projection lies onto the interior of the segment.
  The heading angle is taken as the vector of length *L* whose tip lies on the segment
  (there are two possible tips, the one closer to the segment end point is considered).
- 372 5. Go to step 1.
- 373 In the loitering mode:
- 1. Select the loitering center as the current waypoint.
- 375 2. Construct the circle of radius *L* and centered in the current position of the UAV.
- 376 3. If the circle does not intersect the loitering circle, move towards the projection point situated on377 the loitering circle.
- 378
  4. If the proximity circle intersects the loitering circle, take the heading vector along the tangent at
  379
  379 the intersection point between loitering circle and proximity circle (there are two solutions, we
  380 selected depending on the desired loitering rotation clockwise or counterclockwise).
- 381 Note that all steps where a decision regarding the trajectory update is taken consist in fact in a 382 decision about the UAV's heading. Thus, for trajectory tracking, only the heading angle is used as 383 control input. This suffices for relatively simple trajectories and is robust against wind disturbances 384 (as later shown in the simulations).

# 385 2.3. Relevant Data Extraction

The collected data is hierarchically processed from the ground level, cluster head level, UAV level up to the cloud. Alongside these steps, information is gradually extracted through various methods that enable local decisions based on the configuration of the system (thresholding, consensus, symbolic aggregate approximation, etc.).

390 In-field data processing is ensured both at local level (independent data filtering) and 391 decentralized at network level (through data exchange between neighbor sensory nodes). The 392 proposed data processing mechanisms, tailored for in-field level, are designed in order to ensure a 393 substantial reduction of the measured data volume. The main processing steps are illustrated in the 394 algorithm flowchart provided in Figure 4. The first step for in-field data processing is performed at the 395 local level, independently, by each sensory node. A statistical analysis of the measurement consistency 396 is performed by checking its fitting between the limits imposed by the common three-sigma rule. This 397 is found in Figure 4 as 'Check for outliers'. Further, for a set of consistent values, statistically evaluated 398 using the three-sigma rule, the mean value is computed. This mean value is the relevant value for a 399 certain period of time and is further used to determine a consensus value for a set of neighboring 400 nodes. The convergence value is achieved by processing the relevant data from each node inside the 401 network, through data exchange and the computation of a weighted average. This step is found as 402 'Enable consensus dialog'. Once the convergence is reached, each node performs a routine for results 403 analysis basically seeking to discover and mark nodes with divergent values. This information 404 remains available alongside the consensus value so that it can be interrogated by the higher level of 405 data processing if needed. This is found in Figure 4 as 'Analyze results step'.

406 Aggregated data sets are achieved through different methods. All seek for relevant data points, 407 aiming to a reduced size set and providing at the same time a satisfying reconstruction of the initial 408 data. The proposed method for data aggregation is based on the minimum and maximum values 409 extraction, computed as global extremes for a predefined period of time (e.g., a day). It is obvious that

- 410 this method is suitable only for measurements that have a periodic behavior, with smooth variations
- 411 during the day. A measurement for which this method is suitable is the soil temperature. Conversely, 412 change detection is commonly used for irregularly-shaped data sets. This method follows extraction of
- change detection is commonly used for irregularly-shaped data sets. This method follows extraction oflocal extreme points where trend changes occur.
- 414 Given a set of data points  $(x_i, y_i)$ , i = 1, ..., n, trend  $t_i$  is computed for each sequence 415 measurements such that for a measure m, (5), (6), and (7) has to be true. If  $t_i \neq t_{i+1}$  then it means that
- 416 a trend change has occurred, and the data point  $(x_i, y_i)$  is added to the relevant data set.

$$x_{i+1}^m - x_i^m > \delta^m \Rightarrow t_i^m = 1 \tag{5}$$

$$x_{i+1}^m - x_i^m < -\delta^m \Rightarrow t_i^m = -1 \tag{6}$$

$$x_{i+1}^m - x_i^m \in [-\delta^m, \delta^m] \Rightarrow t_i^m = 0$$
<sup>(7)</sup>





417

Figure 4. Flow diagram of the data processing steps at the field level, based on consensus algorithm.

420 Data collection is done periodically, following a succession of specific routines. As mentioned
421 before, the first step for in-field data processing is performed at the local level, independently, by each
422 sensor node.

423 While the proposed data fusion mechanisms and processing of centralized in-field data at 424 gateway level manage to reduce data volume and ensure the flow of information up to the level of 425 events, an additional intermediate level is appended on the data stream, in order to reach the server. 426 Consequently, the system is composed from three processing levels (Figure 5): In-field data 427 processing, Edge computing, and Cloud computing. This corresponds to a UAV-WSN system with 428 internet integration. The data from WSNs are collected by a UAV (or team of UAVs) and then 429 transmitted at a ground control station (GCS). From here, the data is transmitted, via Internet, to the 430 Cloud computing level and finally to the 'Data interpretation and decision' module.

431 In a consensus mechanism, multiple autonomous agents seek to reach the convergence value 432 under the influence of the information flow exchanged inside the network. Each node updates its 433 estimated value using an updating rule. An update law for node  $n_i$  based on local weighted 434 consensus is described by the following equation:

$$x_i(k+1) = \omega_{ii}x_i(k) + \sum_{j \in N_i} \omega_{ij}x_j(k),$$
(8)

$$\sum_{i \in M} \sum_{j \in N_i} \omega_{ij} = 1, \tag{9}$$

435 where,

436  $x_i \in \mathbb{R}$  is the computed estimate of node *i*;

437  $\omega_{ii}$  is the weight applied to its own previous computed estimate;

438  $\omega_{ij}$  is the weight associated with the node *j* for the value of node *i*;

439 *k* is a convergence step;

440  $N_i$  is the neighborhood of node  $i, i \in \{1, 2, 3, ..., m\} = M$ .

441 The proposed consensus algorithm is built using a hybrid weighted average consensus which

ensures that the updating rule computes the current convergence value, keeping a high priority forthe closest neighbours, but at the same time it aims at suppresing outlier values.

Each node computes the weights  $\omega_{ij}$  based on the distance  $d_{ij}$  computed using the available location information.

$$\omega_{ij} = \begin{cases} \frac{d_{min}}{d_{ij}} & \text{if } (i,j) \in \mathcal{E}, \ i \neq j \\ 0 & \text{if } (i,j) \notin \mathcal{E}, \ i \neq j \end{cases},$$
(10)

446 where,

447  $d_{min}$  is the distance to the closest neighbor;

- 448  $d_{ii}$  denotes the distance between node *i* and *j*.
- 449 Using the selected weights, the algorithm performs a weighted average of neighbours values450 defined as:

$$N_i mean(k+1) = \frac{\sum_{j \in N_i} \omega_{ij} x_j(k)}{dim(N_i)}$$
(11)

451 In order to suppress outlier values additional weights are applied for previous computed 452 estimate  $x_i(k)$  and current neighbourhood estimate average  $N_imean(k + 1)$ . Thus, this is an

$$x_{i}(k+1) = \frac{\Delta(k+1) \cdot x_{i}(k) + \delta \cdot N_{i}mean(k+1)}{\Delta(k+1) + \delta}$$

$$\Delta(k+1) = \frac{\sqrt{\frac{\sum_{j \in N_{i}} [x_{j}(k) - N_{i}mean(k+1)]^{2}}{N_{i} - 1}}}{\sqrt{[x_{i}(k) - N_{i}mean(k+1)]^{2}}}$$

$$\delta = 1 - \Delta(k+1)$$
(12)

455 where,

456 -  $\Delta(k + 1)$  is the weight applied to the state value, computed for each step of the average 457 consensus;

458 -  $\delta$  is the weight applied to the neighborhood estimate.

459 Once the consensus is reached, each node performs a routine for results analysis basically 460 seeking to discover and mark nodes with divergent values. This information remains available 461 alongside the consensus value so that it can be interrogated by the higher level of data processing if

462 needed. This global mechanism indicates problematic sensor nodes or even very isolated events, but

it cannot discern between them.





465 **Figure 5.** Flow diagram of the data processing at the system level.

466 The flow diagram presented in Figure 5 shows the data processing pipeline for the integrated 467 UAV-WSN-IoT system. Based on preliminary parameterization e.g. sample rate, coverage area, 468 energy aware communication, sensor measurements are collected at the ground level by the local 469 nodes. On-board basic data filtering is carried out to check the consistency and validity of the 470 measurements for early detection of sensor faults, misreading or outliers. At the local network level, 471 based on the validated and filtered data, consensus-based agreement is performed by in-network 472 data processing which leads to a common value for each of the acquired parameters among all nodes 473 in a cluster. The cluster head further operates on the data by extracting relevant information through 474 edge computing mechanisms, a model-based compressed representation is achieved e.g. polynomial 475 interpolation models or more advanced methods such as SAX (Symbolic Aggregate 476 Approximation). At the conclusion of the edge computing phase, the UAV is activated for collecting 477 the compressed representations of the ground phenomena from the cluster head nodes. The 478 trajectory of the UAV is optimized as previously discussed to ensure timely collection from all the 479 cluster heads in a target area and transfer the data to a central unit for back-end cloud computing 480 processing and decision. The cloud computing layer integrates the data reconstruction based on the 481 model parameters as inputs to a decision-making process with yields the final outcome and allows closing the loop by acting on the ground environment e.g. irrigation and input dosage signals for theprecision agriculture application.

484 When it comes to processing large volume of data, many high-level representations of time 485 series have been proposed for data mining, including Fourier transforms, wavelets, piecewise 486 polynomial models [44]. A different approach that we consider is the SAX algorithm, proposed in 487 [45]. This is a flexible method that allows adjusting the ratio between data volume and data 488 relevance, to ensure a fair reconstruction of original trends, while ensuring high data reduction by 489 transforming of a time series into text strings. In essence, the algorithm operates by assigning label 490 symbols to segments of the time series, thus porting it in a unified lower dimension representation. 491 The importance of SAX' parameterization must be considered by defining the number of segments 492 and the alphabet size.

493 Starting with a time series *X* of length *n*, this is approximated into a vector  $\overline{X} = (\overline{x}_1, ..., \overline{x}_M)$  of 494 any length  $M \le n$ , with *n* divisible by *M*. Each element of the vector  $\overline{x}_i$  is calculated by:

$$\bar{x}_{i} = \frac{M}{n} \sum_{j=\frac{n}{M(i-1)}+1}^{(n/M)_{i}} x_{j}$$
(13)

# 495 3. Experimental Results

496 The high-level configuration of the integrated system is illustrated in Figure 6. The UAV is of 497 the fixed wing type which enables coverage of large geographic areas with low energy consumption. 498 The base station (CH) collects the primary data processed from the field sensors and periodically 499 transmits it to a UAV according to its synchronization with the planned trajectory. Further, the data 496 are processed in the cloud after the UAV uploads the collected data over the Internet.



501502 Figure 6. UAV–WSN system implementation – General configuration.

# 503 3.1. Path Tracking

We start by illustrating a nominal trajectory obtained by applying the segment tracking part of the LOS algorithm (Figure 7). The way-points are the cluster heads (blue markers) and to each of them corresponds an update radius (solid blue line) and a communication radius (dashed black line). The first radius denotes the region in which an update of the current segment is carried out and the second denotes the region inside which communication is possible. The starting point is chosen far away from the initial way-point.

510 The algorithm provides at each step a heading vector which (with the use of the current 511 position) leads to a heading angle. Together with a constant velocity value, these values are applied 512 to a simplified 2 degrees of freedom UAV model which is numerically integrated to provide the

- 513 resultant path (solid red line). The sampling time is taken *T*=1s and the numerical integration is done
- through ode45 in Matlab 2018b.
- 515 The same scenario is carried out for the nominal case and for the case with wind disturbances
- 516 (modeled by random uniform noise bounded by the interval [-15, 15]). The results are depicted in
- 517 Figure 7 where we observe indeed a reasonable behavior of the resultant path (it passes through the
- 518 way-points neighborhoods, changes to a new segment as expected and is smooth in the nominal
- 519 case at least).



520 **Figure 7.** Illustration of segment tracking: (**a**) nominal case and (**b**) with wind disturbances.

521 To better illustrate the scheme's performance, we show multiple runs (3 samples), each of them 522 for various noise values. We bound the resultant paths inside a corridor of diameter d=30m (Figure 523 8)

523 8).



524

525 **Figure 8.** Illustration of trajectory tracking for multiple runs and with bounding corridor.

We observe that the resulted path does not guarantee enough time inside all communication ranges of the cluster head nodes. Specifically, we note that the 2<sup>nd</sup> and 6<sup>th</sup> waypoints (the one in the

528 upper-most and the one in the lower-most corners) are only tangentially visited. Thus, the need for a

- 530 first in Figure 9 the path resulting in such a case (for both nominal and under disturbance
- 531 functioning).



532 **Figure 9**. Illustration of loiter circle tracking: (a) nominal case and (b) with wind disturbances.

We can now integrate the full algorithm where we switch between segment and loiter modes, as needed. Specifically, in Figure 10 we consider that only waypoints 4 and 6 require the activation of the loitering mode and that the UAV stays in this mode for a fixed duration of t=100s. This can be obviously improved by deciding to exit the loitering mode at a later date (e.g., such that the UAV is already well-oriented towards the next way-point).





540 To simulate path tracking the NMEA Generator was used [46] (Figure 11). The path tracking 541 both in pattern mode (piecewise linear trajectory) and in loiter mode (circles around base stations) 542 were simulated (Figure 12 and Figure 13).



543 544

# Figure 11. NMEA Simulator.



545 546

Figure 12. Pattern mode (tracking segments - green dashed line). Green arrow - UAV.



547 548

Figure 13. Loiter mode (tracking circles - blue). Green arrow - UAV.

549

# 550 3.2. Sensor Placement and Parameter Maps

551 UAV path planning revolves around optimizing the data collection from the cluster head with 552 the constraint of limited mobility and hovering ability of fixed-wing type airborne platforms. To this 553 extent, before the UAV is scheduled to visit the area, all local measurement have to be collected from 554 the WSN at the cluster head, filtered and aggregated while only uploading, for example the 555 consensus values, confidence intervals and outcomes of event detection and embedded alerting 556 mechanisms.

557 The practical experiments at the ground sensor network level have used a sensor node 558 deployment similar to the layout in Figure 14. In total there are 45 nodes deployed in the field on 559 various experimental parcels from our agronomical research institute partner. Among these nodes, 560 six of them have the cluster head role for local collection of the sensor measurement from the 561 neighboring nodes as well as increased capabilities in terms of data processing, storage and energy 562 resources, e.g., solar panel, larger batteries and high gain antennas for more robust operation. These 563 are listed as blue disks in the figure and their selection is based on the geographical coverage 564 conditions and installation constraints.



565

566 Figure 14. Study area with the corresponding sensor nodes (red disks) and cluster heads (blue disks).

In Figure 15 a further split of the wireless sensor network is performed according to four interest zones (Zone 1 – Zone 4) in the agricultural experimental area. Zone 1 contains one cluster head and 12 sensor nodes. Zone 4 contains one cluster head and six sensor nodes. For increased reliability of the data collection, in Zone 2 and Zone 3, two cluster heads are installed, with two patches of six and five sensor nodes respectively in the first case and two patches of six and four sensor nodes in the latter.

573 Based on the discussed deployment layout in the field, we present the coverage maps from the 574 initial values for two parameters and their progression based on the implementation of the 575 distributed agreement algorithm. In Figure 16 the initial soil moisture values are presented in 576 subfigure (a). As the consensus algorithm advances in 10, 20 and 30 iterations, the coverage map is 577 formed with increasing confidence on the joint agreement value after subsequent message 578 exchanges. The final agreement value is stored at the cluster head to ultimately inform the decision 579 process of the local conditions for irrigation actuation – the sensing density in our case is larger than 580 the granularity of the irrigation system which requires an average model based on the local 581 geographical conditions.

582

583





584Figure 16. Soil moisture map in zone 2, before and after consensus: (a) location of soil moisture585sensors; (b) soil moisture map after 10 iterations; (c) soil moisture map after 20 iterations, and (d) soil586moisture map after 30 iterations.

In a similar manner as for the soil moisture parameter, Figure 17 reports the initial values and the consensus progression for the air temperature parameter for Zone 2. The approach is repeated for all the parameters that can be sensed in the field. The sampling time is adapted to the process dynamics as well as to previously reported events or external influences e.g. weather changes, season and expert input regarding field conditions.



592 Figure 17. Temperature map in zone 2, before and after consensus: (a) location of temperature 593 sensors; (b) temperature map after 10 iterations; (c) temperature map after 20 iterations, and (d) 594 temperature map after 30 iterations.

#### 595 3.3. Data Processing Results

As previously discussed, the primary local distributed agreement is based on consensus among the clustered sensing nodes. This allows the nodes to have a unitary representation of the measurements, under the assumption of limited variance in the geographical sensing area for one cluster. The parameters that are sampled by the nodes include: air temperature, relative humidity, soil temperature, soil moisture and solar radiation.

Figure 18 illustrates the consensus results for two parameters: soil moisture and air temperature in a cluster of five TelosB sensor nodes. These are obtained through simulation in a Contiki/COOJA network environment starting from ground-collected values. The main insight provided by this result is in the analysis of the convergence time and convergence values in conjunction with fixed or dynamic tuning parameters. More specifically, by adjusting the communication frequency and weighting the consensus algorithm based on the sensor location and confidence levels, we can guide 607 the algorithm with expert knowledge. This can result in acceleration of the process or in more 608 reliable consensus values.





610 Once local agreement has been established, relevant data extraction is performed at the cluster 611 head by means of the SAX method. In this case, we present the outcome for running the algorithm 612 on a data sample of around 10 days, with the consensus values stored at 30 minute intervals at one 613 cluster head (Table 2). The variations in the SAX string length correspond to the parameterization of 614 the method in terms of the number of segments to divide the input time series into (nseg) and the 615 alphabet size i.e. the discrete threshold levels numbers for classifying the processed values 616 (alphabet\_size). The number of samples of the input data is 490, for nseg=20, corresponding to half 617 daily patterns this is truncated to 480 as the total length of the time series must be divisible with the 618 number of segments. Inputs are z-normalized for the computation of the assigned label. Data were 619 collected in mid-July 2018.

#### 620

#### Table 2. Resulting SAX strings on consensus data.

SAX	Solar Radiation	Air Temperature	Soil Temperature	Relative
Parameters				Humidity
nseg = 10	bcccbccccb	bbcccbcccb	aabdccccdc	cccbbbbbbbc
alphabet_size = 4				
nseg = 10	cdddcddddc	bcdddcdddc	aaceeddded	eddcccccce
alphabet_size = 6				
nseg = 20	bbbcbcbcbcbcbdbdbdab	abacbdbdadadadadbdac	aaaaaccdccccccccdcb	dcdbdacadacadadacadc
alphabet_size = 4				
nseg = 20	bccdcecdbecebebebebc	bcbebfcfbebeafbfbead	aaabbdeeeededdddeeec	edebebebeaeaeaeaebed
alphabet_size = 6				

621

622 The proposed relevant data extraction methods were evaluated from a comparative standpoint

623 regarding the ratio between the volume of data and the data relevance. For a set of measurements,

624 for air temperature monitoring, acquired for 10 days, 502 data points were validated and stored,

totaling 2.008 kBytes. This raw data set was used for three relevant data extraction methods; theresults are presented below.

Figure 19 illustrates a number of 98 relevant points extracted through the Fog computingalgorithm based on change detection approach. Considering the common size of 4 bytes for floating

point values, a total of approximately 400 bytes needs to be uploaded (excluding the proposedprotocol frame).

For the symbolic aggregation method, two tests were performed, for two parameterizations of the SAX algorithm at opposite poles. First, Figure 19 illustrates the results for SAX algorithm adjusted for a rough representation of the time series, thus a number of 10 characters is extracted. Considering the common size of one byte for ASCII character representation, a total of 40 bytes need to be uploaded. Secondly, for granular SAX, Figure 19 illustrates a number of 48 points, thus a total of 48 bytes.

637 Considering that for the case where SAX is parameterized granularly, the set of extracted 638 values is almost as relevant as in the case of the change detection method, but using a total volume of 639 about 10 times smaller, one can see that the SAX algorithm is suitable for the considered data 640

640 extraction task. A comparative representation is illustrated in Figure 19.



Figure 19. Relevant data extraction: (a) change detection method; (b) SAX algorithm – Roughly; (c)
SAX algorithm – Granular, and (d) comparative representation of data sizes achieved using the
proposed relevant data extraction methods.

# 644 4. Discussion

645 The paper represents a significant extension of [47] with further details regarding the UAV 646 trajectory tracking and implementation of the support path planning software interfaces and 647 illustrative path planning examples. On the data processing and deployment of the ground sensor 648 network the results are further elaborated upon with coverage maps, improved consensus and 649 relevant data extraction results. The two-stage data processing methodology presented in this paper 650 includes a consensus algorithm for distributed agreement for sensor node patches deployed in the 651 field alongside a relevant data extraction step based on the consensus results. The first stage is 652 intended to ensure agreement of all the data collection entities upon the measured parameters as 653 well as to increase data quality by limiting the effect of sending upstream erroneous sensor readings. 654 The second stage aims to optimize the data collection time at the interface between the cluster head 655 and the UAV acting as a data mule. Based on the compressed representation of SAX segments the 656 results can be expanded and further processed at the decision level, in the cloud. At the higher 657 abstract layer in the cloud, the results presented in Table 2 can be interpreted using state-of-the-art 658 text analytics tools. This is useful for quantitative assessment of univariate sequences as well as 659 correlations between multivariate string series. The character frequencies and recurring 660 subsequences for certain parameters might be indicators for evolving phenomena at the ground 661 level.

Potential drawbacks of the integrated system are related to the increased complexity for multi-level data processing, communication and interoperability constraints between the aerial platform and the ground sensors. Increased administrative requirements have to be complied with e.g. approving flight plans for each UAV mission along with maintenance requirements which can stem from outdoor deployment of the nodes. We consider however that the benefits outweigh the discussed drawbacks of such a system.

## 668 5. Conclusions

669 The paper illustrated a case study for collaborative UAV-WSN operation in large scale 670 monitoring for precision agriculture. The algorithms, techniques and tools to enable seamless 671 interoperability between the two domains are illustrated. Key contributions are argued in the design 672 of optimized trajectories for UAV-enabled field data collection and for in-network data processing 673 that allows efficient use of limited ground sensor network resources. Particularly, we propose 674 combined segment and loiter tracking modes which balance between path length and time spent in 675 the neighborhood of a cluster head. By passing the raw sensor readings through multiple 676 hierarchical data processing steps, the quality of the extracted information is increased as well as its 677 timeliness given the fact that reduces communication burden allows lower network-wide latency for 678 decision-making. The role of the UAV platform is critical to support large scale monitoring and data 679 collection applications in precision agriculture as it reduces the reliance of third-party 680 communication and computing infrastructure that might not be readily available in the field or pose 681 increased costs.

682 Extensive field evaluation is planned for validation of the impact of such a system for crop 683 management. The main challenges for such a collaborative system are the following: sensing 684 covering, communication covering by the hybrid UAV–ground WSN system, energy efficiency, and 685 computing efficiency.

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